

03/26/01

1042 U.S. PTO

03-28-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on March 26, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.110, Mailing Label Number EL815445192US, addressed to the Commissioner for Patents, Washington, DC 20231.

Neha K. Weid

Attorney Docket No. SUNMP005

First Named Inventor: Rahul Sharma

j1040 U.S. PTO

09/818214

03/26/01

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents

Box Patent Application

Washington, DC 20231

☐ Duplicate for fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors: Rahul Sharma, Vladimir Matena, Masood Mortazavi, and Sanjeev Krishnan

For: METHOD AND APPARATUS FOR MANAGING REPLICATED AND MIGRATION CAPABLE SESSION STATE FOR A JAVA PLATFORM

Application Elements:

☒ 33 Pages of Specification, Claims and Abstract

☒ 11 Sheets of Drawings (Informal)

☒ 02 Pages Declaration

Accompanying Application Parts:

☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)

☐ 37 CFR 3.73(b) Statement by Assignee

☐ Information Disclosure Statement with Form PTO-1449

☐ Copies of IDS Citations

☐ Preliminary Amendment

☒ Return Receipt Postcard

☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Other:

(Revised 12/97, Pat App Trans 53(b) Reg)

Page 1 of 2

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OR</u>	<u>LARGE ENTITY</u>	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$355	\$	OR	\$710	\$710
TOTAL CLAIMS	32	-20 = 12	x09=	\$	OR	x18 =	\$216
INDEP CLAIMS	3	-3 = 0	x40 =	\$	OR	x80 =	\$
[ ] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<u>\$926</u>

☒ Check No. 5025 in the amount of \$966.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. SUNMP005).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

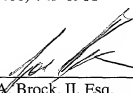
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. SUNMP005).

☒ Please send correspondence to the following address:

**Joe A. Brock, II, Esq.**  
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 Sunnyvale, CA 94086  
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Date: March 26, 2001

  
 \_\_\_\_\_  
 Joe A. Brock, II, Esq.  
 Registration No. 46,021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )

Sharma et al. )

Application No. [To be assigned] )

Filed: March 26, 2001 )

For: METHOD AND APPARATUS FOR MANAGING )  
REPLICATED AND MIGRATION CAPABLE )  
SESSION STATE FOR A JAVA PLATFORM )

Examiner: Unassigned

Art Unit: Unassigned

Docket No. SUNMP005

Date: March 26, 2001

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Signed: \_\_\_\_\_

Neel K. Mehta

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE PENILLA & KIM, LLP

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Reg. No. 46,021

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